

HENRY BRAGG

& CO.

Privacy Notice

FACTS	WHAT DOES HENRY BRAGG & CO. DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security Number and driver's license number • Account balances and income • Addresses and contact information <p>When you are no longer our client, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share client's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client's personal information; the reasons Henry Bragg & Co. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Henry Bragg & Co. share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or to report to credit bureaus.	Yes	No
For our marketing purposes – to offer our products and services to you.	No	N/A
For joint marketing with other financial companies	No	N/A
For our affiliates' everyday business purposes – Information about your transactions and experiences	N/A	N/A
For our affiliates to market to you	N/A	N/A
For nonaffiliates to market to you	No	N/A

Questions?	Call 713-393-9444
------------	-------------------





Who we are	
Who is providing this notice?	Henry Bragg & Co.
What we do	
How does Henry Bragg & Co. protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include physical, electronic, and procedural safeguards and secured files and buildings.
How does Henry Bragg & Co. collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none">• Complete an application to open or close an account• Provide authorization for trading authority and fee deductions• Submit disbursement instructions We also collect your personal information from other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none">• Sharing for affiliates' everyday business purposes – information about your creditworthiness• Affiliates from using your information to market to you• Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <i>We do not have any affiliates.</i>
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <i>These include broker/dealers, mutual fund companies, insurance companies, <u>third-party money managers</u>, and other financial institutions.</i>
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products of services to you. <i>We do not participate in joint marketing.</i>